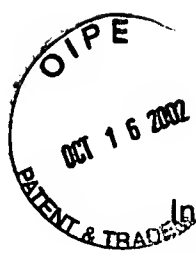


1774



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Y. GAO, et al.

Serial No: 09/996,523

Filed: 11/27/2001

For: CARBON NANOTUBES ON A  
SUBSTRATE AND METHOD OF  
MAKING

) Art Unit:  
)  
) Examiner:  
)  
) Paper No:  
)  
) File No: E-1743 DIV  
)  
) Date: October 9, 2002  
)

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Box PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, D.C. 20231

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The undersigned hereby certifies that the attached:

- [X] Information Disclosure Statement pleading (2 pages)
- [X] Information Disclosure Statement (PTO/SB/08B) (1 page)
- [X] References for IDS (PTO/SB/08B) (9 attachments)
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Hope C. Houck  
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PATENT

File No. E-1374 DIV

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Y. GAO, et al.	) Art Unit:
Serial No: 09/996,523	) Examiner:
Filed: 11/27/2001	) Paper No:
For: CARBON NANOTUBES ON A SUBSTRATE AND METHOD OF MAKING	) File No: E-1743 div
	) Date: October 3, 2002
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INFORMATION DISCLOSURE STATEMENT

BOX PATENT APPLICATION  
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Dear Sir:

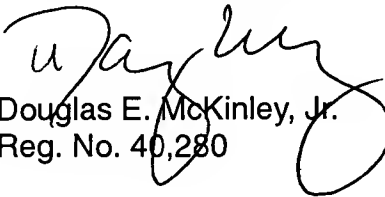
Pursuant to the duty of disclosure under 37 CFR §§ 1.56, 1.97, and 1.98, the documents listed on the attached Form(s) PTO/SB/08 are being brought to the attention of the Examiner in charge of the above-identified application.

The Examiner is respectfully requested to initial the space adjacent each document entry on the Form(s) PTO/SB/08, and to return a copy of the initialed Form(s) PTO/SB/08 to confirm that the documents have been considered and have been officially made of record in this application.

If the Examiner has any questions or wishes to discuss this application, the Examiner is invited to telephone the undersigned representative at the number set forth below. This IDS is either being submitted within the 3 month deadline or prior to the 1<sup>st</sup>

Office Action, therefore we don't believe there are any fees required. However, if any fees are required for consideration of this paper they are hereby authorized to be charged to our Deposit Account No. 502149.

Respectfully submitted,



Douglas E. McKinley, Jr.  
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PTO/SB/08B (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449B/PTO		<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		<b>Application Number</b>	09/996,523
		<b>Filing Date</b>	11/27/2001
		<b>First Named Inventor</b>	Y. GAO, et al.
		<b>Group Art Unit</b>	
		<b>Examiner Name</b>	
		<b>Attorney Docket Number</b>	E-1743 DIV
<b>Sheet</b>	1	<b>of</b>	1

<b>OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS</b>			
<b>Examiner Initials<sup>1</sup></b>	<b>Cite No. <sup>1</sup></b>	<b>Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published</b>	<b>T<sup>2</sup></b>
		Y. AHANG, ET AL., "Coaxial Nanocable: Silicon Carbide and Silicon Oxide Sheathed with Boron Nitride and Carbon," Science Magazine, Aug 14, 1998, pp. 973-975, Vol. 281, No. 5379, AAAS	
		Z. F. REN, ET AL., "Synthesis of Large Arrays of Well-Aligned Carbon Nanotubes on Glass," Science Magazine, Nov. 6, 1998, pp. 1105-1107, Vol. 282, No. 5391, AAAS	
		W.Z.LI, ET AL., "Large-Scale Synthesis of Aligned Carbon Nanotubes," Science Magazine, Dec. 6, 1996, pp. 1701-1703, Vol. 274, No. 5293, AAAS	
		ANDREAS THESS, ET AL., "Crystalline Ropes of Metallic Carbon Nanotubes," Science Magazine, Jul. 26, 1996, pp. 483-487, Vol 273, No. 5274, AAAS	
		HONGJIE DAI, ET AL., "Synthesis and Characterization of Carbide Nanorods," Nature, June 29, 1995, pp. 769-772, Vol. 375	
		C. JOURNET, ET AL., "Large-scale Production of Single-walled Carbon Nanotubes by the Electric-arc Technique," Nature, Aug. 21, 1997, pp. 756-758, Vol. 388	
		R. T. K. BAKER, "Catalytic Growth of Carbon Filaments," Carbon, 1989, pp. 315-323, Vol 27, No. 3, Pergamon Press, Great Britain	
		WALT A. DE HEER, ET AL., "Aligned Carbon Nanotube Films: Production and Optical and Electronic Properties," Science, May 12, 1995, pp. 845-847, Vol 268	
		P. M. AJAYAN, ET AL., "Aligned Carbon Nanotube Arrays Formed by Cutting a Polymer Resin-Nanotube Composite," Science, Aug. 26, 1994, pp. 1212-1214, Vol 265	

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<b>Examiner Signature</b>		<b>Date Considered</b>	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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